



Brett A. "Ben" Rogers
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Education

J.D., with honors, Florida State University, Member, Florida State University Law Review; Member, Florida State University Mock Trial Team; Best Oralist, Florida State University Mock Trial Competition

B.A., Dickinson College

Bar Admissions

Florida

Georgia

State Court of Georgia

Superior Court of Georgia

Supreme Court of Georgia

Court of Appeals of Georgia

United States District Court Northern District of Georgia

United States District Court Middle District of Georgia

United States Court of Appeals for the Eleventh Circuit

Practices

Class Actions

Securities

Complex Business Litigation

Contract Disputes

Business Torts and RICO

Consumer Litigation

Employment Law

Insurance-Related Litigation

Protection of Trade Secrets and Proprietary Information

Product Liability & Toxic Tort

OVERVIEW

Brett "Ben" Rogers is a partner at Rogers & Hardin LLP and an accomplished trial lawyer. His practice focuses on complex business matters, including securities litigation, arbitration, and general commercial litigation. He has served as lead trial counsel in hundreds of cases involving court proceedings and arbitration hearings in Georgia, Florida and more than twenty other states.

For example, Ben recently served as lead trial counsel defending a broker-dealer in scores of related FINRA arbitrations. In each of the arbitrations that Ben tried, the panels dismissed all claims, which led to a favorable settlement for our client of all the remaining matters.

Ben has been named a Litigation Star in Georgia by Benchmark Litigation and has been recognized in *The Best Lawyers in America*. He also has been named a *Georgia Super Lawyer* for each of the last several years and received a Peer Review Rating of AV Preeminent from Martindale.

Ben is admitted to both the Georgia Bar and the Florida Bar. He also is admitted to practice before the United States District Courts for the Northern, Middle and Southern Districts of Georgia and before the Eleventh Circuit Court of Appeals.

REPRESENTATIVE EXPERIENCE

- More than 25 years of experience representing major financial institutions in cases adjudicated in the federal and state courts and in various arbitration forums, including FINRA, NASD, NYSE and AAA proceedings.
- Represents major corporate clients in defending class actions and individual cases involving alleged fraud issues, corporate and board investigations, and financial and accounting irregularities.
- Served as one of a handful of lawyers selected as lead trial counsel to defend several hundred customer arbitrations throughout the nation relating to allegedly fraudulent securities research issued by a major financial institution.
- Represented major brokerage firm in defending more than 150 arbitration claims,

Litigation

Restrictive Covenants, Trade Secrets
and Proprietary Information

Securities Litigation

Shareholder Class Actions

SEC and FINRA Investigations and
Litigation

Representation of Auditors and
Investment Bankers

Broker-Dealer Litigation and
Arbitration

Corporate Governance

Special Committee Representation

Internal Investigations

Professional Liability and Disciplinary
Proceedings

filed in more than twenty different states, arising from allegations relating to the employee stock option plan of a global telecommunications company.

- Represented major insurance company in defending a putative class action filed in California state court alleging unfair and deceptive trade practices in the sale of life insurance policies and achieved a dismissal and low four-figure settlement of the matter.

PROFESSIONAL AND COMMUNITY ACTIVITIES

- Securities Industry Association, Legal and Compliance Division
- Bleckley Inn of Court
- Lamar Inn of Court
- Legal Cabinet Member, United Way of Metropolitan Atlanta
- Elder, Northminster Presbyterian Church
- Development Team, Wesleyan School

PROFESSIONAL RECOGNITION

- *Benchmark Litigation*: named as a Local Litigation Star in Georgia
- *Super Lawyers*: recognized as a leading Georgia lawyer in Securities Litigation every year since 2008
- *The Best Lawyers in America*: listed as a "Best Lawyer" in Commercial Litigation

NEWS

- R&H Partner Brett "Ben" Rogers Presents at 2014 SIFMA Compliance & Legal Society Annual Seminar

PUBLICATIONS

- FINRA Dispute Resolution Task Force Issues Its Final Report and Recommendations
- Client Advisory: FINRA Regulatory Notice to Members 14-40 ("NTM 14-40")
- SEC Approves FINRA Rule to Prohibit Conditioning Settlements on Expungement
- United States Court of Appeals for the Second Circuit Provides Clarification on Definition of Customer Under FINRA Rules

RECOGNITIONS

- Rogers & Hardin Receives 2019 Benchmark Litigation Highly Recommended Ranking
- The Best Lawyers in America® Recognizes 14 Rogers & Hardin Partners



- 12 Rogers & Hardin Partners Recognized by Super Lawyers
- U.S. News - Best Lawyers® "Best Law Firms" Recognizes Rogers & Hardin
- Rogers & Hardin Receives 2018 Benchmark Litigation Highly Recommended Ranking
- The Best Lawyers in America® Recognizes 15 Rogers & Hardin Attorneys
- 12 Rogers & Hardin Attorneys Recognized by Super Lawyers
- U.S. News - Best Lawyers® "Best Law Firms" Recognizes Rogers & Hardin
- Rogers & Hardin Recognized as Corporate America 2016 Legal Elite
- 14 Rogers & Hardin Partners Recognized by Super Lawyers
- U.S. News - Best Lawyers® "Best Law Firms" Recognizes Rogers & Hardin
- Rogers & Hardin Receives Benchmark Litigation's Highest Ranking In 2016
- Rogers & Hardin Receives Benchmark Litigation's Highest Rating In 2015